

#7

## PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT DIVISION

In re PATENT APPLICATION of: USAMA FAYYAD ET AL.

Serial No.: 09/700,606 Examiner: not yet assigned

Filed: 11/15/00 Art Unit: not yet assigned

Docket: 14-694 - 4254

Title: A SCALABLE SYSTEM FOR CLUSTERING OF LARGE  
DATABASES MIXED DATA ATTRIBUTES

Watts, Hoffmann, Fisher  
& Heinke Co., L.P.A.  
1100 Superior Ave., Ste. 1750  
Cleveland, Ohio 44114

Commissioner for Patents and Trademarks  
Attn: Finance Dept., Refund Section  
P.O. Box 16  
Washington, D.C. 20231

U.S. Patent and Trademark Office  
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REQUEST FOR REFUND

4/2/01  
Maren Burdick

Dear Sir:

On the February 2001 deposit account statement for account number 23-0630, we noted numerous charges and credits for this application.

This application is based on a previously filed PCT application. When the national phase U.S. application was filed, a check was sent to the U.S. Patent and Trademark Office that was received on November 15, 2000 in the amount of \$1,002 for the application filing fee. A copy of the check and return postcard is enclosed. This application was filed without a signed declaration. On January 31, 2001 the U.S. Patent and Trademark Office received two checks in the amounts of \$110 and \$130 for filing a Petition for a one month extension of time and for filing the missing

Declaration and Power of Attorney from the original filing.

Adjustment 02/15/2001 00000010 230630 09700606  
01 FC:154 130.00 CR

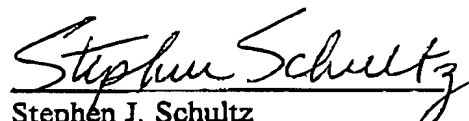
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The February 2001 deposit account statement contained two credits, one for \$1046 and one for \$110. Applicant's attorney does not believe that they are entitled to these credits since the filing fee and extension fee was submitted to the PTO unless our deposit account was erroneously charged for these amounts in a previous statement. Also, applicant's attorney respectfully requests an explanation of the inconsistency in the filing fee amount which was sent in to the PTO (\$1002) and the amount credited to our deposit account (\$1046).

The February 2001 deposit account statement also had one charge for \$130 with the fee code number of 154 which stands for a charge for submitting an Oath or Declaration after the twenty or thirty months from priority date. This second charge should be refunded to deposit account number 23-0630 since a \$130 check was submitted and received by the PTO on January 31, 2001.

Respectfully submitted,

Date March 28, 2001.

  
Stephen J. Schultz  
Reg. No. 29,108

Phone: (216)623-0775

**WATTS, HOFFMANN, FISHER & HEINKE CO., L.P.A.**  
ATTORNEYS AT LAW

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FACSIMILE (216) 241-8151

**FACSIMILE TRANSMITTAL**

To:	Ms. Rita White
Organization:	U.S. PTO - Finance Dept.
Facsimile No.:	703-305-3230
From:	Stephen J. Schultz, Esq.
Date:	January 4, 2002
Our File No.:	14-694 - 4254 - Serial No. 09/700,606
No. of pages: (including this cover pg.)	9

**MESSAGE:**

Please advise me of the status of the Request for Refund which was received by the U.S. PTO on April 5, 2001. Copies of all submitted documents are enclosed for your convenience.

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FILE NO: 14-694 ATTY: SJS  
 DUE DATE: 06-05-2001 ( 20010605 )  
 MICROSOFT CORP/INIT: ( 20010405 )  
 ACTION: 10 RES PER: 2 M DK1  
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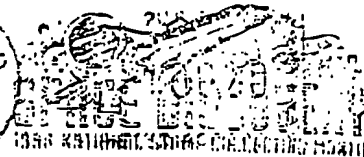
**PATENT APPLICATION**

Applicant(s): Watts, Hoffmann, Fisher & Heinke Co., L.P.A. Attorney Docket No.: 14-694  
 Title: A portable system for computers Client: 7204  
 Serial No.: 021200605 Filed: 11/13/00 Patent No.:  
 Mailing Date: 4/2/01 Initials: AB  
 Receipt is hereby acknowledged of the following:

<input type="checkbox"/> Transmittal (____ pages)	<input type="checkbox"/> Assignment(s) (____ pages)
<input type="checkbox"/> Provisional Application for Patent Cover Sheet	<input type="checkbox"/> Assignment Cover Sheet(s) (____ pages)
<input type="checkbox"/> Request for Filing a Patent Application under 37 CFR 1.53 (b) (____ pages)	<input type="checkbox"/> Small Entity Statement (____ pages)
<input type="checkbox"/> Request for Filing a Patent Application under 37 CFR 1.53 (d) (____ pages)	<input type="checkbox"/> Number of Priority Document(s)
<input type="checkbox"/> Patent Application (____ total pages)	<input type="checkbox"/> Response to Notice to File Missing Parts (____ pages)
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1114+2344



## PATENT APPLICATION

Attorney Docket No. 14-694

Client: 9257

Applicant(s): Douglas et al.Title: Image Based SystemSerial No. 091700401Filed: 11/15/00

Patent No.:

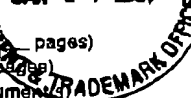
Mailing Date: 1/23/01Initials: KB

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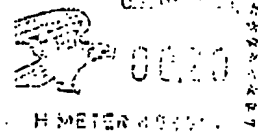
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☐ Request for Filing a Patent Application under 37 CFR 1.60 ( \_\_\_ pages)  
☐ Request for Filing a Patent Application under 37 CFR 1.62 ( \_\_\_ pages)  
☐ Patent Application ( \_\_\_ total pages)  
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     ☐ Continuation, ☐ Divisional, ☐ CIP,  
     ☐ PCT, ☐ \_\_\_\_\_  
     \_\_\_ cover page  
     \_\_\_ pages of specification  
     \_\_\_ pages of \_\_\_ number of claims  
     \_\_\_ pages of abstract  
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CLEVELAND, OHIO 44114-2518

04



**PATENT APPLICATION**

Applicant(s): Watts, Hoffmann, Fisher & Heinke  
 Title: Variable System in Architecture  
 Serial No.: 14-654  
 Mailing Date: 11/15/00, Filed: 11/15/00, Initials: SJS/KB, Patent No.: 700606

Receipt is hereby acknowledged of the following:

☒ Transmittal ( 2 pages)  
☐ Provisional Application for Patent Cover Sheet  
☐ Request for Filing a Patent Application under 37 CFR 1.60 (    pages)  
☐ Request for Filing a Patent Application under 37 CFR 1.62 (    pages)  
☒ Patent Application ( 40 total pages)  
     ☐ Provisional, ☒ New Nonprovisional,  
     ☐ Continuation, ☐ Divisional, ☐ CIP,  
     ☐ PCT, ☐     
     33 cover page  
     33 pages of specification  
     2 pages of 39 number of claims  
     2 pages of abstract  
☒ Drawings ( 2 sheets)  
☒ Declaration(s) with Power of Attorney ( 2 pages) unrelated  
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Attorney Docket No.: 14-654  
 Client: 700606

☐ Assignment(s) (    pages)  
☐ Assignment Cover Sheet(s) (    pages)  
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     ☐ PTO Form 1449 (    pages)  
     ☐ Number of Documents  
☐ Petition for a    Month Extension of Time  
☐ Preliminary Amendment (    pages)  
☐ Amendment (    pages)  
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414 Rec'd PCT/PTO 15 NOV 2000

09/700606

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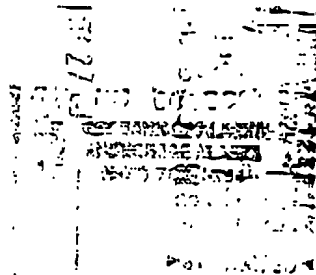
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